

Dept Code	Department/Audit	Audit Days	Status	Assurance Rating	Recommendations Made		
					Red	Amber	Green
Barbican Centre	FINANCIAL MONITORING	15	Final Report	Green	0	0	2
Chamberlain's	PROCESS MAPS	8	Final Report	Green	0	0	4
City Surveyors	CLFS ENABLING WORKS	4	Final Report	Red	4	0	0
Guildhall School	STUDENT PETTY CASH	5	Final Report	Green	0	3	8
Guildhall School	ACCADEMIC PROGRAMME DEVELOPMENT	15	Final Report	Green	0	0	0
Police Authority	FLEET STREET	12	Final Report	Amber	0	2	0
Chamberlain's	IT ASSET MANAGEMENT	0	Draft Report	Amber	0	17	4
Community and Children's Services	EARLY HELP STRATEGY	8	Draft Report	Amber	0	2	1
Corporate HR	PUBLIC SECTOR EQUALITIES DUTY	10	Draft Report	Amber	0	3	2
Corporate Wide	GDPR COMPLIANCE	15	Draft Report	Amber	0	1	1
Guildhall School	BUDGET-SETTING & FINANCIAL MANAGEMENT	15	Draft Report	Amber	0	1	1
Barbican Centre	SPONSORSHIP & DONATIONS	10	Fieldwork Complete				
Chamberlain's	CBIS (ORACLE) PROPERTY MODULE	12	Fieldwork Complete				
City of London Police	PAYROLL AND OVERTIME	15	Fieldwork Complete				
City of London Police	TRANSFORM CHANGE PROGRAMME PHASE 1	6	Fieldwork Complete				
City Surveyors	ACQUISITION OF NEW PROPERTIES/ DISPOSAL	10	Fieldwork Complete				
Guildhall School	FRAUD RISK MANAGEMENT	12	Fieldwork Complete				
Markets and Consumer Protection	HEALTH & SAFETY	15	Fieldwork Complete				
Barbican Centre	ARTISTIC EVENTS DECISION-MAKING & EVALUATION	10	Fieldwork in Progress				
Chamberlain's	SOFTWARE LIFECYCLE MANAGEMENT	14	Fieldwork in Progress				
City of London Police	POLICE PREMISES EXPENDITURE	15	Fieldwork in Progress				
Community and Children's Services	BUDGET-SETTING & FINANCIAL MONITORING	15	Fieldwork in Progress				
Community and Children's Services	HOUSING SAFETY	12	Fieldwork in Progress				
Comptroller and City Solicitor	MANAGEMENT OF FREEDOM OF INFORMATION REQUESTS	10	Fieldwork in Progress				
Corporate HR	HUMAN RESOURCES - STAFF SICKNESS MANAGEMENT	15	Fieldwork in Progress				
Corporate Wide	PROJECT MANAGEMENT	25	Fieldwork in Progress				
Open Spaces	INCOME CHECKS	20	Fieldwork in Progress				
Open Spaces	KEATS HOUSE - INCOME AND EXPENDITURE	10	Fieldwork in Progress				
City Surveyors	FRAUD RISK MANAGEMENT	8	ToR				
Corporate Wide	CORPORATE-WIDE HIGHWAYS REPAIRS AND MAINTENANCE CONTRACT	20	ToR				
Corporate Wide	FIRE SAFETY RISK MANAGEMENT	15	ToR				
Markets and Consumer Protection	BUDGET-SETTING & FINANCIAL MONITORING	15	ToR				
Sir John Cass School	CASS CHILDREN & FAMILY CENTRE	7	ToR				
Barbican Centre	SECURITY	15	Planning				
Chamberlain's	COUNCIL TAX	10	Planning				
Chamberlain's	BUSINESS RATES	10	Planning				
Chamberlain's	IT SERVICE MANAGEMENT (ITIL)	10	Planning				
City of London Police	WORKFORCE PLANNING	15	Planning				
City of London Police	FINANCIAL FORECAST	20	Planning				
Comptroller and City Solicitor	INCOME AND EXPENDITURE - DIRECT CHARGING	12	Planning				
Corporate Wide	ASSET MANAGEMENT	15	Planning				

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					Red	Amber	Green
Barbican Centre	FACILITIES MANAGEMENT AND MAINTENANCE (Barbican and Guildhall School)	15	Not Started				
Barbican Centre	DATA SECURITY	15	Not Started				
Built Environment	DBE CAR PARKS CONTRACT MANAGEMENT	10	Not Started				
Chamberlain's	IT - DIGITAL CONTENT MANAGEMENT (SHAREPOINT)	10	Not Started				
Chamberlain's	TREASURY MANAGEMENT	10	Not Started				
Chamberlain's	PENSION FUND INVESTMENTS	12	Not Started				
Chamberlain's	INFORMATION GOVERNANCE	20	Not Started				
Chamberlain's	IT SHAREPOINT	10	Not Started				
Chamberlain's	FIREWALL & VPN MANAGEMENT	14	Not Started				
Chamberlain's	IT TELECOMS	15	Not Started				
City of London Police	TRANSFORM CHANGE PROGRAMME PAHSE 2	14	Not Started				
City of London School	SCHOOL FEES	7	Not Started				
City of London School for Girls	HEALTH AND SAFETY	10	Not Started				
City of London School for Girls	SCHOOL EXPANSION PROJECT	7	Not Started				
City of London School for Girls	ASSET MANAGEMENT	3	Not Started				
Community and Children's Services	DIRECT PAYMENTS	7	Not Started				
Community and Children's Services	ACADEMIES	30	Not Started				
Corporate HR	HUMAN RESOURCES - POLICIES/PROCEDURES/GUIDANCE REVIEWS	20	Not Started				
Corporate Wide	WORKFORCE PLANNING	15	Not Started				
Freemen's School	STAFF TRAINING	8	Not Started				
Freemen's School	KEY CONTROLS	8	Not Started				
Guildhall School	INTERNATIONALISM	12	Not Started				
Markets and Consumer Protection	HEATHROW ANIMAL RECEPTION CENTRE - KEY FINANCIAL	12	Not Started				
Police Authority	POLICE FUNDING	20	Not Started				
Town Clerk's	CITY BRIDGE TRUST GRANTS	15	Not Started				
Community and Children's Services	CHILDREN LOOKED AFTER	10	To be re-planned				
Corporate Wide	CORPORATE-WIDE CHANGE CONTROL	12	To be re-planned				
Corporate Wide	PROJECT ESTIMATING (GATEWAY PROCESS)	15	To be re-planned				
Corporate Wide	CORPORATE-WIDE RISK MANAGEMENT	15	To be re-planned				
Corporate Wide	PROJECTS CHANGE CONTROL PROCESS	10	To be re-planned				
Corporate Wide	CHANGE CONTROL	20	To be re-planned				
Corporate Wide	USE OF CONSULTANTS	20	To be re-planned				
Sir John Cass	KEY CONTROL PROCESSES	7	To be re-planned				
Sir John Cass	SCHOOL FUNDING	7	To be re-planned				
	Total Audit Days	940					